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8                   **UNITED STATES DISTRICT COURT**  
9                   **SOUTHERN DISTRICT OF CALIFORNIA**

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11 SECURITIES AND EXCHANGE  
12 COMMISSION,

13                   Plaintiff,

14                 v.

15                 PAUL T. RAMPOLDI; and WILLIAM  
16                 SCOTT BLYTHE III,

17                   Defendants.

18                   Case No.: 16cv2017-MMA (RNB)

19                   **JUDGMENT AS TO DEFENDANT**  
20                   **PAUL T. RAMPOLDI**

21                   The Securities and Exchange Commission having filed a Complaint and Defendant  
22 Paul T. Rampoldi (“Defendant”) having entered a general appearance; consented to the  
23 Court’s jurisdiction over Defendant and the subject matter of this action; consented to  
24 entry of this Judgment; waived findings of fact and conclusions of law; waived any right  
25 to appeal from this Judgment; and has acknowledged that this Judgment shall remain in  
26 full force regardless of the existence and pendency of any further proceeding in, or  
27 related to, *United States v. Rampoldi, et al.*, No. 16-cr-01842-DMS (S.D. Cal.); provided,  
28 however, that if the judgment of conviction against Defendant is reversed or vacated on  
appeal then, upon a motion of Defendant or the Securities and Exchange Commission,  
the Consent shall be considered withdrawn, this Judgment will be vacated, and this action  
will be restored to an active docket. Such a motion shall be filed within 60 days of the

1 date that Defendant's judgment of conviction in *United States v. Rampoldi, et al.*, No. 16-  
2 cr-01842-DMS (S.D. Cal.) is reversed or vacated:

3 **I.**

4 **IT IS HEREBY ORDERED, ADJUDGED, AND DECREED** that Defendant is  
5 permanently restrained and enjoined from violating, directly or indirectly, Section 10(b)  
6 of the Securities Exchange Act of 1934 (the "Exchange Act"), 15 U.S.C. § 78j(b), and  
7 Rule 10b-5 promulgated thereunder, 17 C.F.R. § 240.10b-5, by using any means or  
8 instrumentality of interstate commerce, or of the mails, or of any facility of any national  
9 securities exchange, in connection with the purchase or sale of any security:

- 10 (a) to employ any device, scheme, or artifice to defraud;  
11 (b) to make any untrue statement of a material fact or to omit to state a material  
12 fact necessary in order to make the statements made, in the light of the  
13 circumstances under which they were made, not misleading; or  
14 (c) to engage in any act, practice, or course of business which operates or would  
15 operate as a fraud or deceit upon any person.

16 **IT IS FURTHER ORDERED, ADJUDGED, AND DECREED** that, as provided  
17 in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the  
18 following who receive actual notice of this Judgment by personal service or otherwise:  
19 (a) Defendant's officers, agents, servants, employees, and attorneys; and (b) other  
20 persons in active concert or participation with Defendant or with anyone described in (a).

21 **II.**

22 **IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED** that  
23 Defendant is liable for disgorgement in the amount of \$20,041, representing profits  
24 gained as a result of the conduct alleged in the Complaint, together with prejudgment  
25 interest thereon in the amount of \$2,791.09. This disgorgement and prejudgment interest  
26 award shall be deemed satisfied by the entry of the forfeiture order in *United States v.*  
27 *Rampoldi, et al.*, No. 16-cr-01842-DMS (S.D. Cal.).

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III.

**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED** that no civil penalty shall be imposed upon Defendant in this civil action, based upon Defendant's criminal conviction and sentence in *United States v. Rampoldi, et al.*, No. 16-cr-01842-DMS (S.D. Cal.).

IV.

**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED** that the Consent  
is incorporated herein with the same force and effect as if fully set forth herein, and that  
Defendant shall comply with all of the undertakings and agreements set forth therein.

V.

**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED** that, for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, the allegations in the complaint are true and admitted by Defendant, and further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. § 523(a)(19).

VI.

**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED** that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Judgment.

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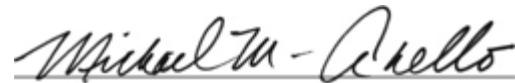
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1                              **VII.**  
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5            There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of  
6 Civil Procedure, the Clerk is ordered to enter this Judgment forthwith and without further  
7 notice.  
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Hon. Michael M. Anello  
United States District Judge